

FIG. 1

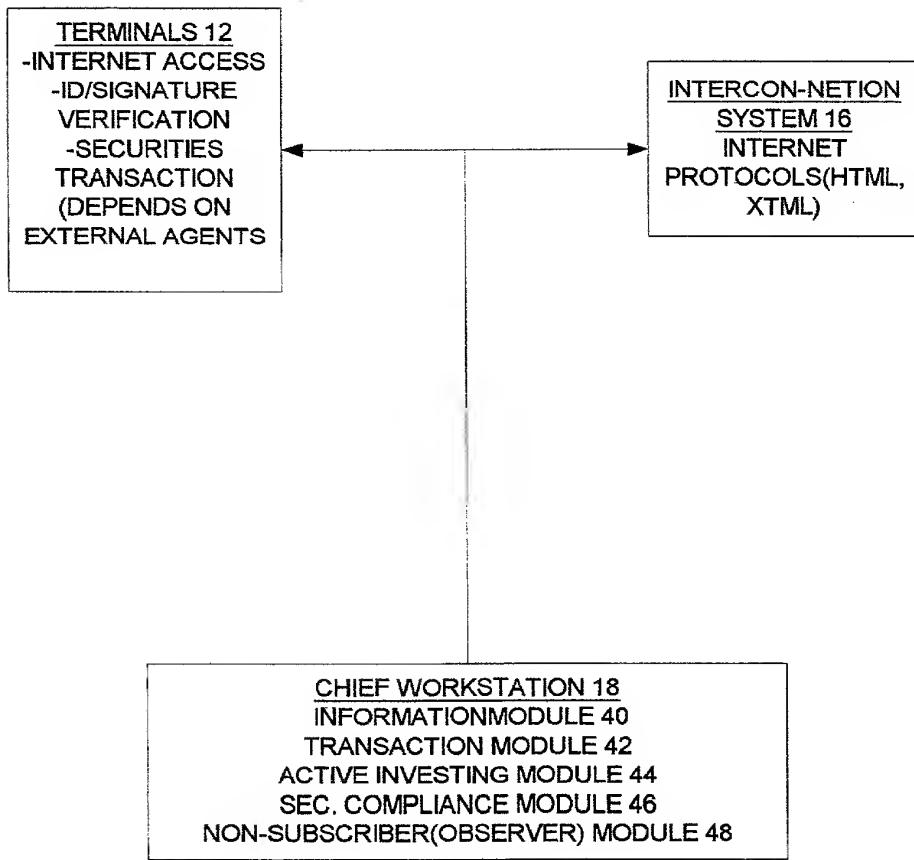
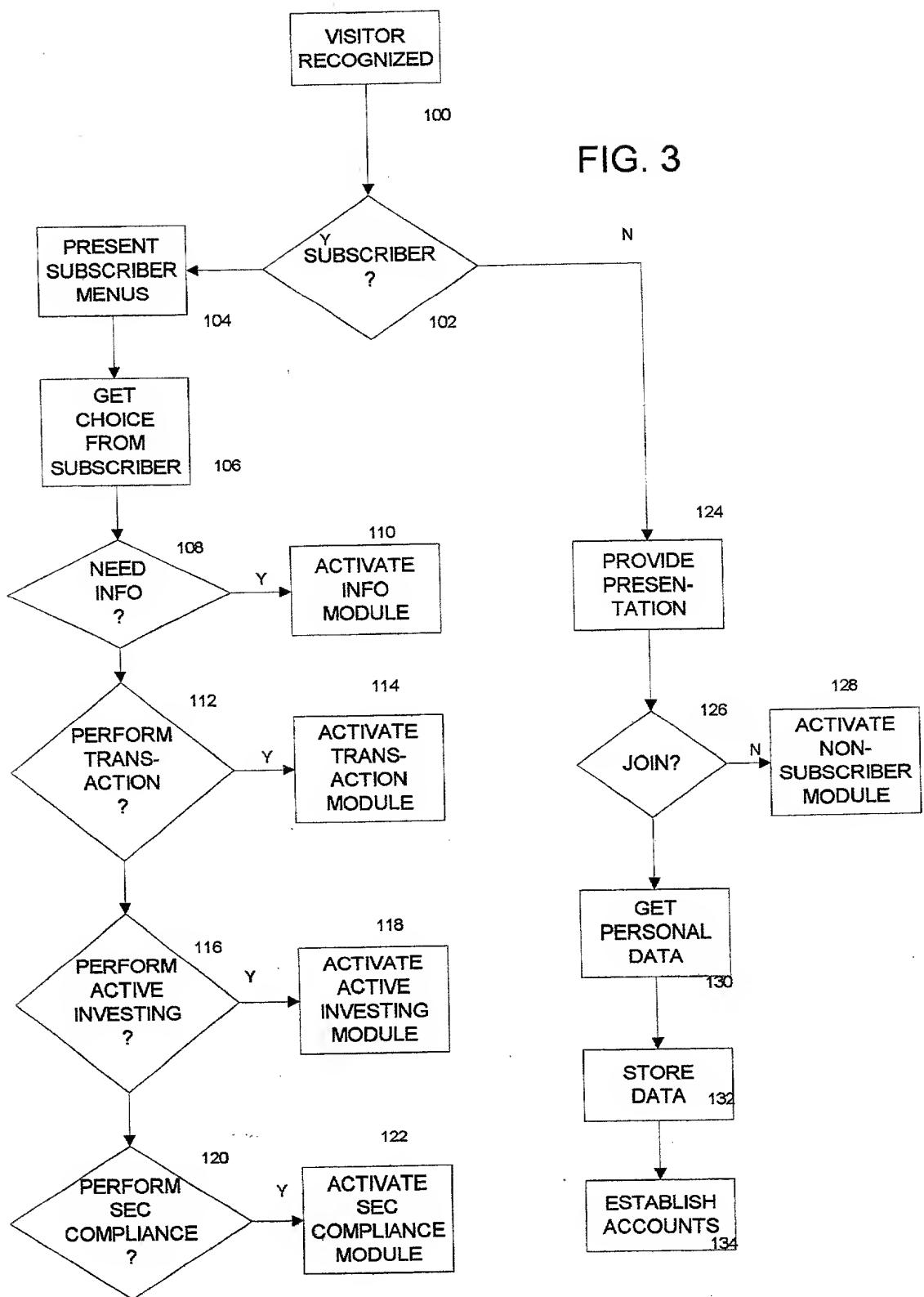


FIG. 2

FIG. 3



P #	Parent Process	Process Sub-process	Agent	Entity	Attribute
1.0.0	Engage site visit	Enable Subscriber access	Terminals Network DB-Server Data Flow	Visitor Subscriber	screen name password
1.1		Gather data			
1.1.1		Verify data			
1.1.2		Authenticate password			
2.0.0	Invoke Active Investing				
2.1.0		Deliver moderated content information	Chief Workstation	Chief	file type
2.1.1		Moderate and display content	Communication Comm	Vendor1	news & analyses
2.1.2		Moderate and facilitate communication	Active Archive	TOS	correspondence & filings
2.1.3		Manage archive & document distribution	Data Store	Disclosures	disclosure
2.1.4		Access 3rd Party data	External Agent1	Agreements	
2.1.5		Subscribe & incorporate with reference	Commission schedules		
2.1.6		Search	Licenses		
2.1.7		Deliver programmed content information	Materials		
2.1.8		Enable Network enrollment	Programs		
2.1.9		Offer to Visitors	Directories		
2.1.91		Visitor selections	Transcripts		
2.2.0		Perform Subscriber enrollment	Filings	Data Set2	
2.2.1		Establish site disclosure & TOS			
2.2.2		Gather data			
2.2.3		Review & confirm Entity selections			
2.2.4		Establish subscription disclosure & TOS			
2.2.5		Authorize Name & Password			

FIG. 4A

P#	Parent Process	Process	Sub-process	Agent	Entity	Attribute
2.2.6			Enable directory preferences			
2.2.7			Enable credit card billing & renewal	External Agent2	Vendor2	
2.2.8			Activate Subscriber			
2.2.9			Enable Subscriber access			
2.3.0		Perform Account enrollment		Transaction Bridge	Account	Data Set3
2.3.1			Gather data	Broker-dealer		
2.3.2			Confirm Entity & Attribute selections	Clearing broker1		
2.3.3			Review & confirm Account suitability			
2.3.4			Establish subscription disclosure & TOS	Vendor3		
2.3.5			Identification & Signature verification			
2.3.6			Authorize Name & Password			
2.3.7			Enable Account preferences			
2.3.8			Enable customer service access			
2.3.9			Fund & activate Account			
2.3.91			Enable Account access			
2.4.0		Perform Observer enrollment		Observer		Data Set4
2.4.1			Gather data			
2.4.2			Review & confirm Entity & Attribute selections			
2.4.3			Review & confirm Observer suitability			
2.4.4			Establish Observer disclosure & TOS			
2.4.5			Identification & Signature verification			
2.4.6			Authorize Name & Password			
2.4.7			Enable Observer preferences			

FIG. 4B

P #	Parent Process	Process	Sub-process	Agent	Entity	Attribute
2.4.8			Activate Observer			
2.4.9			Enable Observer access			
3.0.0	Perform Active Investing					
3.1.0		Access Active Investing module		Portfolio management system		
3.1.1			Access & exchange information			
3.1.2			Access customer service			
3.2.0		Access Transaction module		Reg. 17A trading system		
3.2.1			Establish broker-dealer link	Trading broker		
3.2.2			Display Account information	Clearing broker		
3.3.0			Apply Active Investing Analytics	Model portfolio		
3.4.0			Execute transactions	Index portfolio		
3.4.1			Assess fees		NASD Standards	
3.4.2			Modify Account information & preferences	Federal Securities Laws		
3.4.3			Terminate & withdraw Accounts	Forms; SEC		
3.4.4			Access customer service	Forms; Other		
3.5.0		Access Compliance module		Transaction records		
3.5.1		Gather Account data		Proxy (14-a) templates		
3.5.1.1			Evaluate data completeness and integrity	Compliance Bridge		
3.5.2			Analyze and report Account suitability	Review and designate Account		
3.5.2.1			Display Account information, history & preferences	Concert (13-d) templates		
3.5.2.2			Calculate and display Account eligibility			
3.5.2.3						
3.5.2.4			Enable Active Investing Account			

FIG. 4C

P #	Parent Process Process	Sub-process	Agent	Entity	Attribute
3.5.3		Analyze Network Filing requirements			
3.5.3.1		Review Active Investing Data Communication			
3.5.3.2		Aggregate Network Investment Accounts			
3.5.3.3		Access public filing data			
3.5.3.4		Compute and compare investment thresholds and materiality for Network			
3.5.3.5		Identify Network filing requirements			
3.5.4		Schedule, prepare & review filings			
3.5.4.1		Access Account and other data, forms & templates			
3.5.4.2		Prepare filings			
3.5.4.3		Integrate 3rd party data			
3.5.4.4		Conduct fiduciary review			
3.5.5		Deliver filings			
3.5.5.1		Electronic delivery			
3.5.5.2		Conventional delivery			
3.5.6		Access customer service			
		Notes			
N#					
1		Agent links are cumulatively employed performing processes			
2		Further sub-processes established for proprietary, commercial and open software integration			
3		Further sub-processes established for regulatory and commercial circumstances			
4		Status designations entail electronic and fiduciary review			

FIG. 4D

FIGURE 5A

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<u>date</u>	<u>ticker</u>	<u>company</u>	<u>source</u>	<u>topic</u>	<u>Moderator note</u>
1/3/02	XYZ	betaTarget	David Lang, Chief Warrior1	Risk Advisory Letter to Horst Buchholz, betaTarget CEO	Please read!
1/3/02	xyz	betaTarget	Warrior1	betaTarget June 30, 2001 analysis	First Notice
5/14/01	xyz	betaTarget	Warrior1	betaTarget March 30, 2001 analysis	Electronics don't connect
3/14/01	xyz	betaTarget	Warrior1	beta campaign	dismal reading
1/3/02	xyz	betaTarget	Warrior1	Active investing list	Strategic Investment opportunity
			Warrior1	Active investing strategy	The Field
			Warrior1	Network Subscriber directory	Ovenview
			Warrior1	Network Terms of Service	The Club
			Warrior1	About Warror1@HedgeFundWarrior.Com	The Rules
			Warrior1	About HedgeFundWarrior.com	The History
			Warrior1	About HedgeFundWarrior.com	The Site for Active Investing

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FIGURE 5B *ActiveInvesting.com*

